

MAY 2 0 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicants(s): Vladimir A. Aksyuk
 Christopher R. Doerr
 Dan Fuchs

Case: 28-59-1

Serial No.: 10/081,498

Filing Date: February 22, 2002

Group: 2874

Examiner: Unassigned

Title: Planar Lightwave Wavelength Device Using Moveable Mirrors

100-513102
 I hereby certify that this paper is being deposited on this date with
 the U.S. Postal Service as first class mail addressed to the Assistant
 Commissioner for Patents, Washington, D.C. 20231

Signature: Linda Shachet Date: May 6, 2002

03/05
 5/Janal
 11-12-02

TRANSMITTAL OF FORMAL DRAWINGS

Assistant Commissioner for Patents
 Washington, D.C. 20231

Attention: Official Draftsperson

SIR:

Applicant(s) submit herewith seven (7) sheets of formal drawings in the above-referenced application.

Respectfully submitted,

Kevin M. Mason

Kevin M. Mason
 Attorney for Applicants
 Reg. No. 36,597
 Ryan, Mason & Lewis, LLP
 1300 Post Road, Suite 205
 Fairfield, CT 06430
 (203) 255-6560

Date: May 6, 2002



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ORIGINALLY FILED

Aksyuk 28-59-1

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TRANSMITTAL OF MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Box Missing Parts

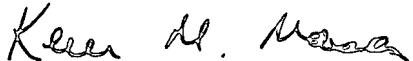
SIR:

In response to the Notice to File Missing Parts, dated March 26, 2002, Applicants submit herewith the following documents to complete the above-referenced patent application:

- (1) Declaration and Power of Attorney;
- (2) Assignment with Recordation Cover Sheet;
- (3) Preliminary Amendment with marked-up and corrected Fig. 5; and
- (4) Information Disclosure Statement with PTO-1449 and cited reference(s).

A copy of the Notice is enclosed herewith. Please charge Deposit Account No. 50-0762 the amount of \$1,012.00, to cover the filing fees, the surcharge under 37 CFR §1.16(e) and the assignment recordation fee. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit Deposit Account No. 50-0762 as required to correct the error. Duplicate copies of this letter are enclosed.

Respectfully submitted,



Kevin M. Mason
Attorney for Applicant(s)
Reg. No. 36,597
Ryan, Mason & Lewis, LLP
1300 Post Road, Suite 205
Fairfield, CT 06430
(203) 255-6560

Date: May 6, 2002



4-20-2002

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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(B)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/081,498	02/22/2002	Vladimir A. Aksyuk	28-59-1

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APR - 1 2002

CONFIRMATION NO. 1508

FORMALITIES LETTER



OC000000007717130

Date Mailed: 03/26/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

5/22/2002 BABRAHA1 00000010 500762 10081498

FILED UNDER 37 CFR 1.53(b)

1 FC:101 740.00 CH
2 FC:105 130.00 CH
3 FC:103 18.00 CH
4 FC:102 84.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$102.
 - \$18 for 1 total claims over 20.
 - \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 972.

*A copy of this notice **MUST** be returned with the reply.*

Eluday

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE